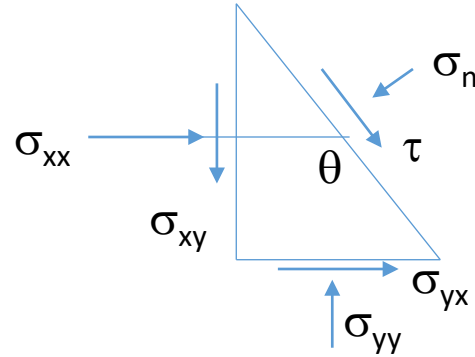


# Faults and stress

Ramón Arrowsmith

[ramon.arrowsmith@asu.edu](mailto:ramon.arrowsmith@asu.edu)

## Relationship between traction and stress



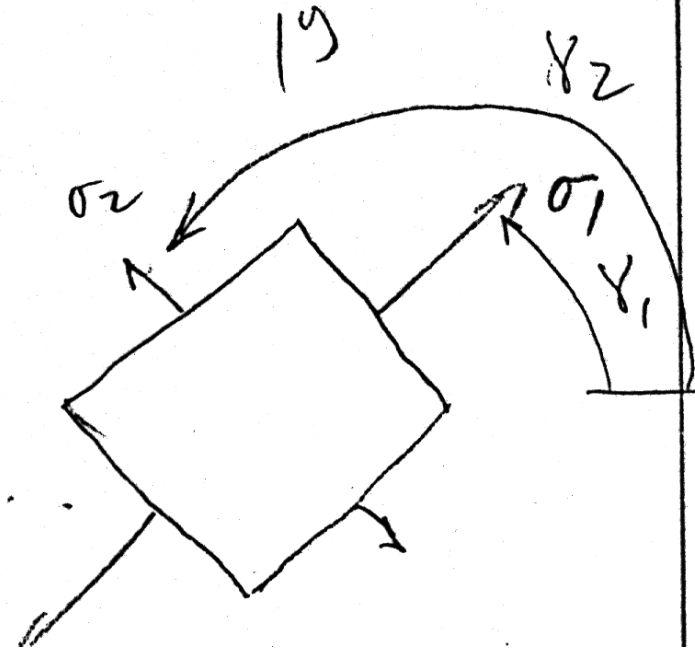
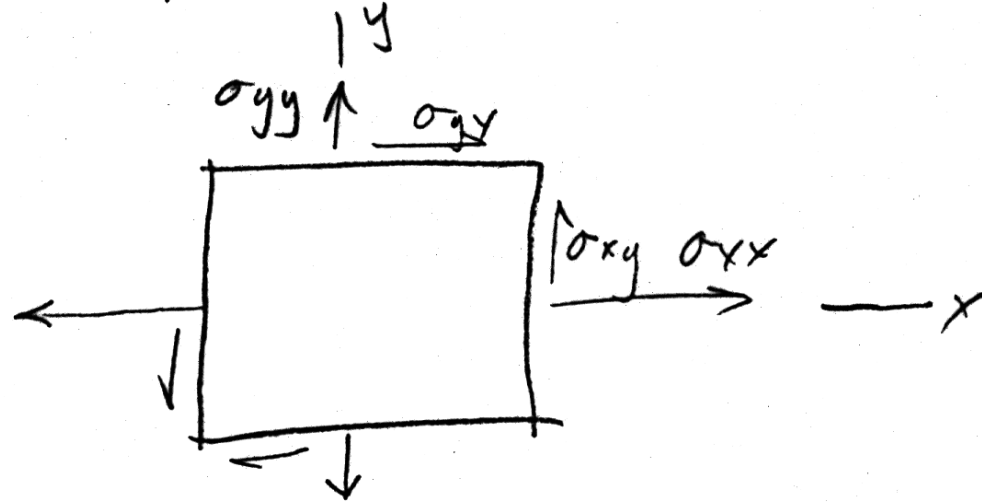
By balancing the traction with stress, we can solve for the normal and shear tractions as a function of the stress tensor components and the orientation of the plane.

Cauchy's equations:

$$\sigma_n = \sigma_{xx} \cos^2 \theta + \sigma_{yy} \sin^2 \theta + 2\sigma_{xy} \cos \theta \sin \theta$$

$$\tau = \sigma_s = \sigma_{xy} (\cos^2 \theta - \sin^2 \theta) + (\sigma_{xx} - \sigma_{yy}) \cos \theta \sin \theta$$

Earth's surface is free of shear tractions, so  
 normal stress on earth's surface from atmosphere is  
 a principal stress. Other principal stresses are  $\perp$   
 so horizontal surfaces must contain the other two  
 principal stresses.



Principal Stresses

Orientation:

$$\gamma_1 = \frac{1}{2} \tan^{-1} \left( \frac{2\sigma_{xy}}{\sigma_{xx} - \sigma_{yy}} \right), \quad \gamma_2 = \gamma_1 + 90^\circ$$

Magnitude:

$$\sigma_1 = \frac{1}{2} (\sigma_{xx} + \sigma_{yy}) + \left[ \frac{1}{4} (\sigma_{xx} - \sigma_{yy})^2 + (\sigma_{xy})^2 \right]^{1/2}$$

$$\sigma_2 = \frac{1}{2} (\sigma_{xx} + \sigma_{yy}) - \left[ \frac{1}{4} (\sigma_{xx} - \sigma_{yy})^2 + (\sigma_{xy})^2 \right]^{1/2}$$

Can specify state of stress with the principal stresses + orientation

$\sigma_1$  is maximum compression

$\sigma_3$  is minimum (in 3D)

$\sigma_2$  is intermediate

Returning to Cauchy's equations:

$$\sigma_n = \sigma_{xx} \cos^2 \theta + \sigma_{yy} \sin^2 \theta + 2\sigma_{xy} \cos \theta \sin \theta$$

$$\tau = \sigma_s = \sigma_{xy} (\cos^2 \theta - \sin^2 \theta) + (\sigma_{xx} - \sigma_{yy}) \cos \theta \sin \theta$$

delete 0 components and use these identities:

$$\cos^2 \theta = \frac{1}{2} + \frac{1}{2} \cos 2\theta$$

$$\sin^2 \theta = \frac{1}{2} - \frac{1}{2} \cos 2\theta$$

$$\cos \theta \sin \theta = \frac{1}{2} \sin 2\theta$$

and

$$\sigma_{xx} = \sigma_1; \sigma_{yy} = \sigma_3$$

$$\sigma_n = \frac{\sigma_1 + \sigma_3}{2} - \frac{\sigma_1 - \sigma_3}{2} \cos 2\theta$$

$\theta$  is positive clockwise from the  $\sigma_1$  direction

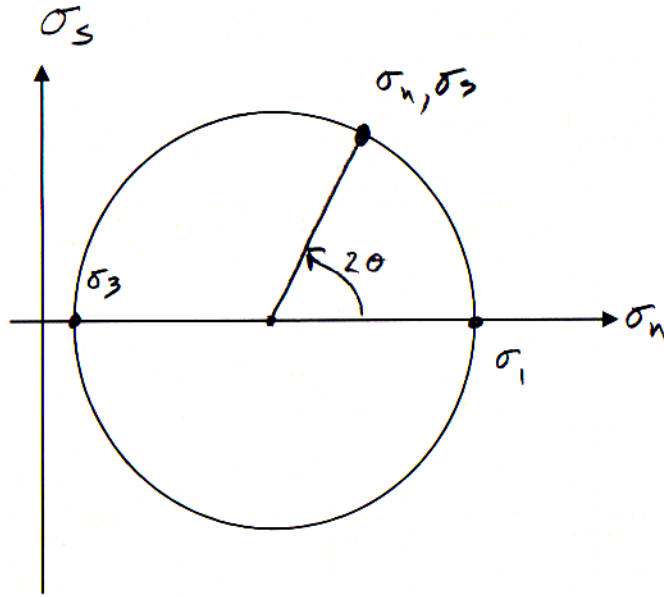
$$\tau = \sigma_s = \frac{\sigma_1 - \sigma_3}{2} \sin 2\theta$$

# Faults, stress, and tractions

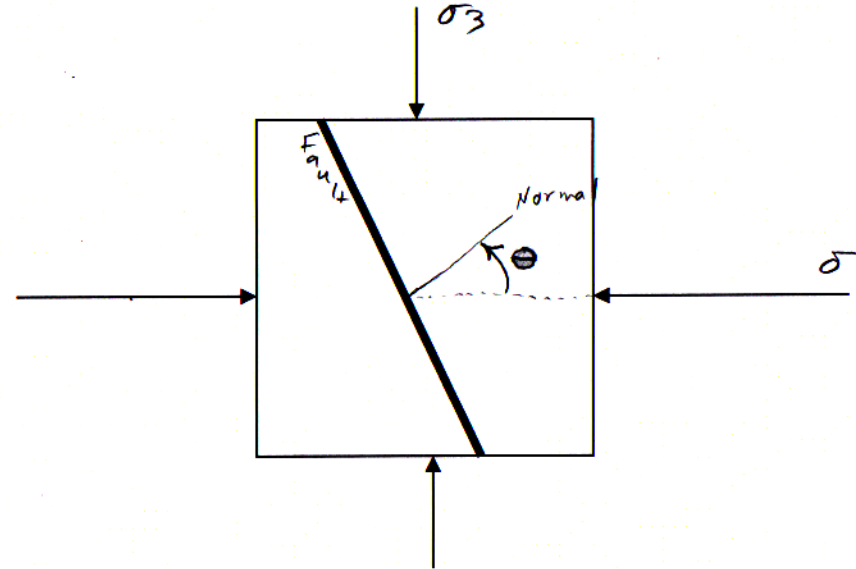
## Mohr Circle

Graphical construction that lets us visualize the relationship between the principal stresses and tractions on a boundary (like a fault).

*Mohr Space*



*Physical space*



$\theta$  measured positive counter clockwise from  $\sigma_1$  direction to normal of plane of interest  
 $2\theta$  measure positive <sup>counter</sup> clockwise from  $\sigma_n$  direction on Mohr circle



Christian Otto Mohr (October 8, 1835 – October 2, 1918) was a German civil engineer. In 1882, he famously developed the graphical method for analysing stress known as Mohr's circle and used it to propose an early theory of strength based on shear stress.

[http://en.wikipedia.org/wiki/Christian\\_Otto\\_Mohr](http://en.wikipedia.org/wiki/Christian_Otto_Mohr)

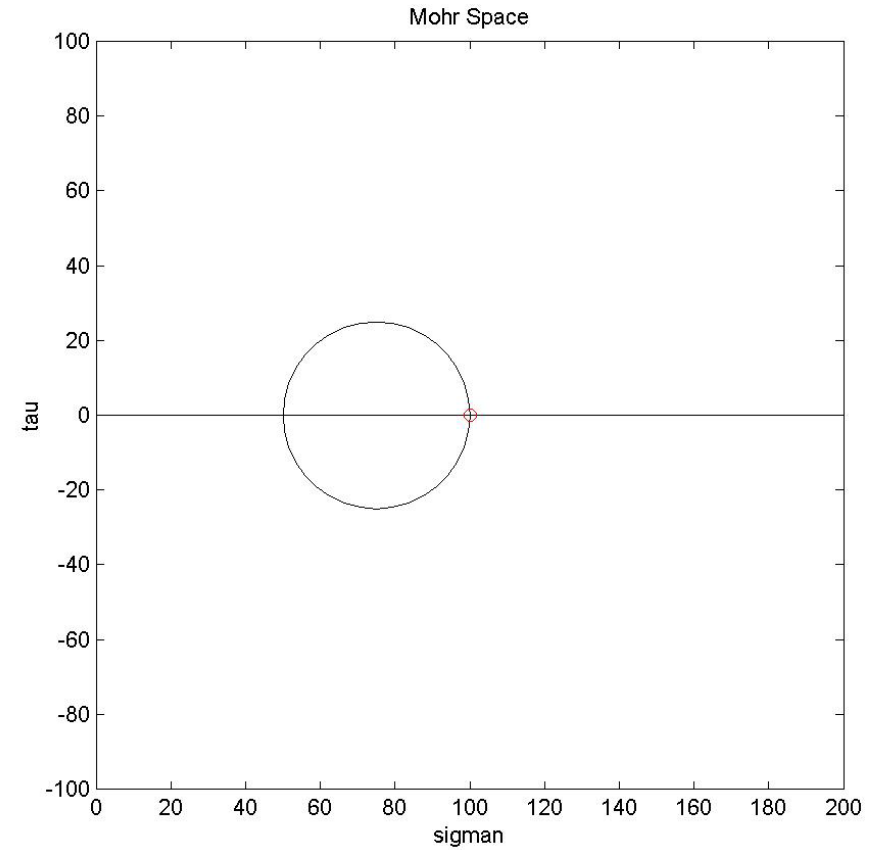
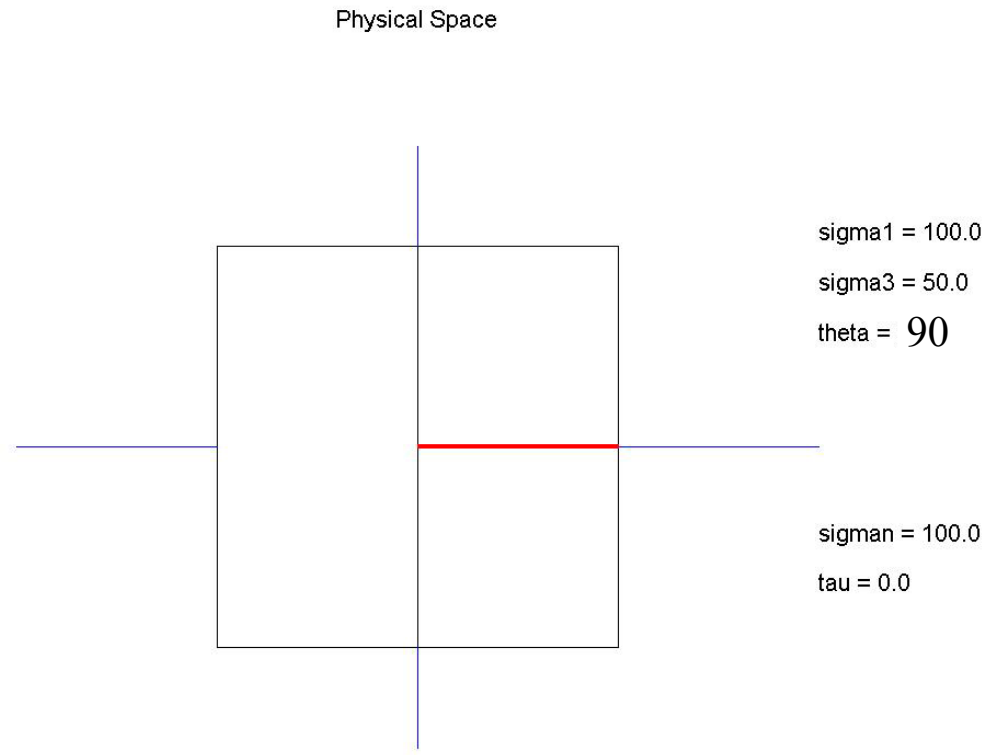
# Faults and stress: traction and stress

$$\sigma_n = \frac{\sigma_1 + \sigma_3}{2} - \frac{\sigma_1 - \sigma_3}{2} \cos 2\theta$$

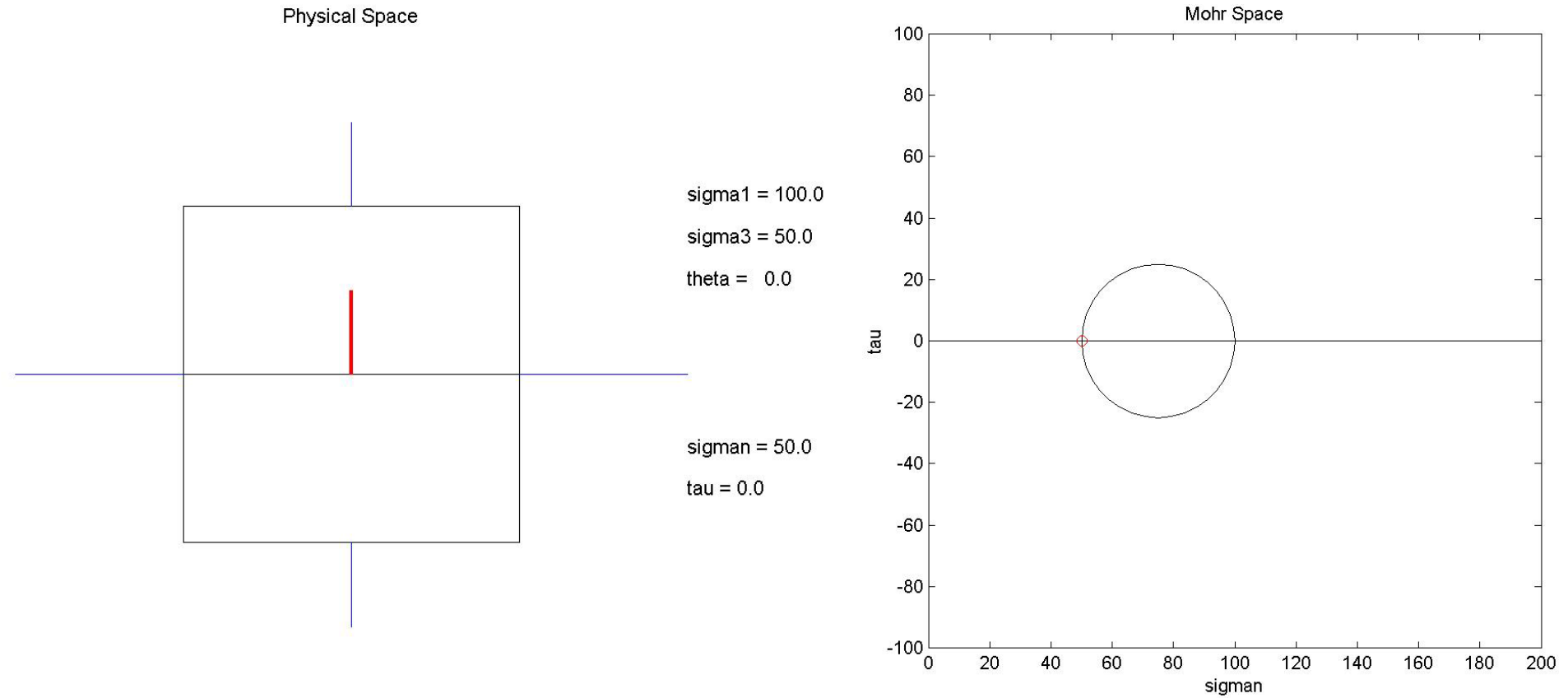
$$\tau = \sigma_s = \frac{\sigma_1 - \sigma_3}{2} \sin 2\theta$$

Equations of the Mohr Circle (also “Cauchy’s equations”)

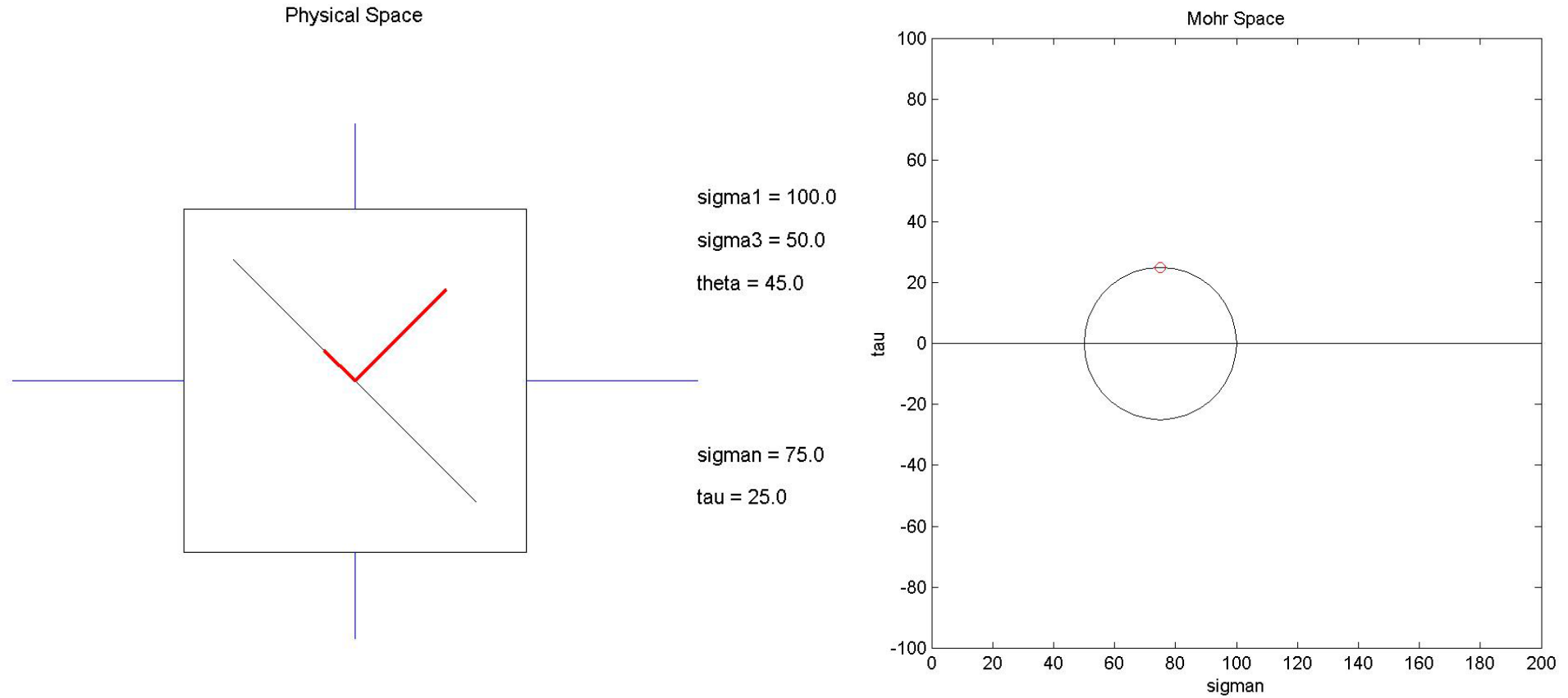
# Faults and stress: traction and stress



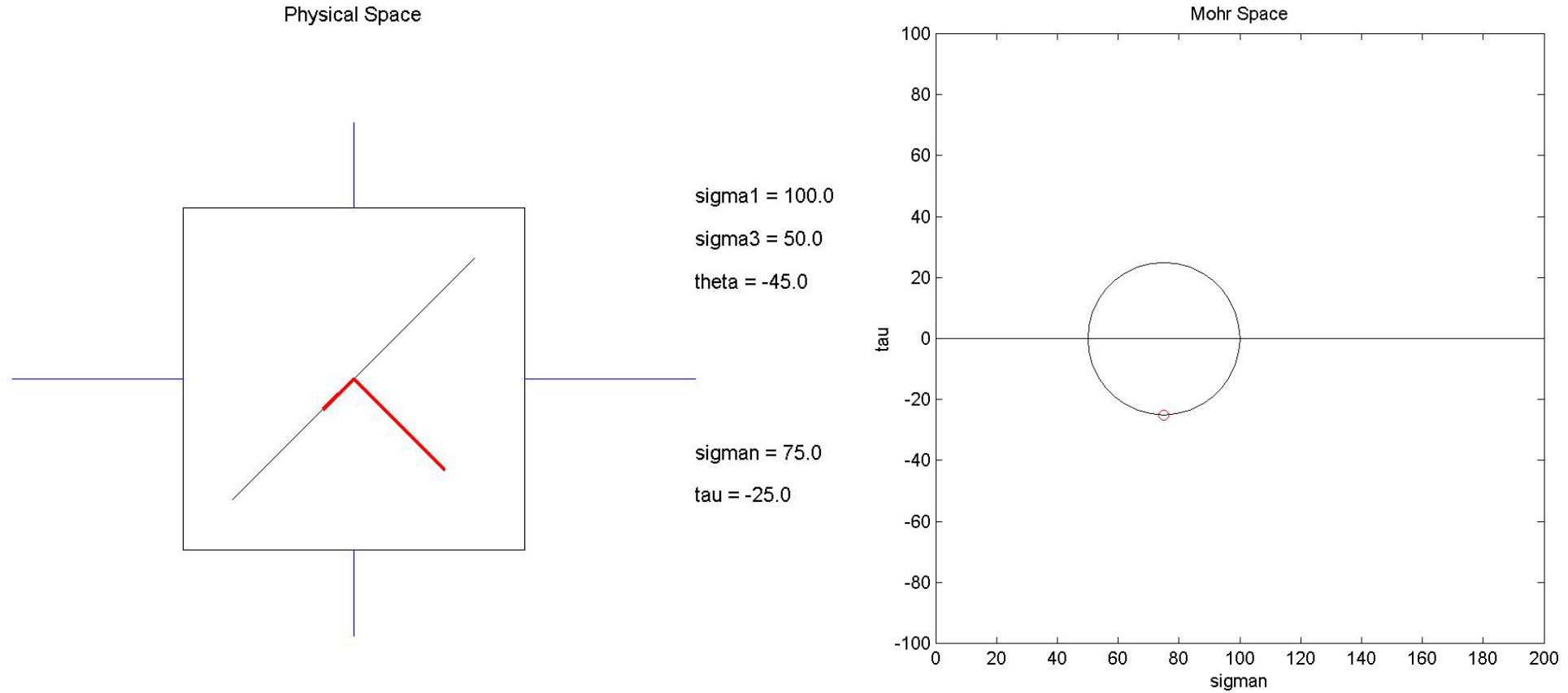
# Faults and stress: traction and stress



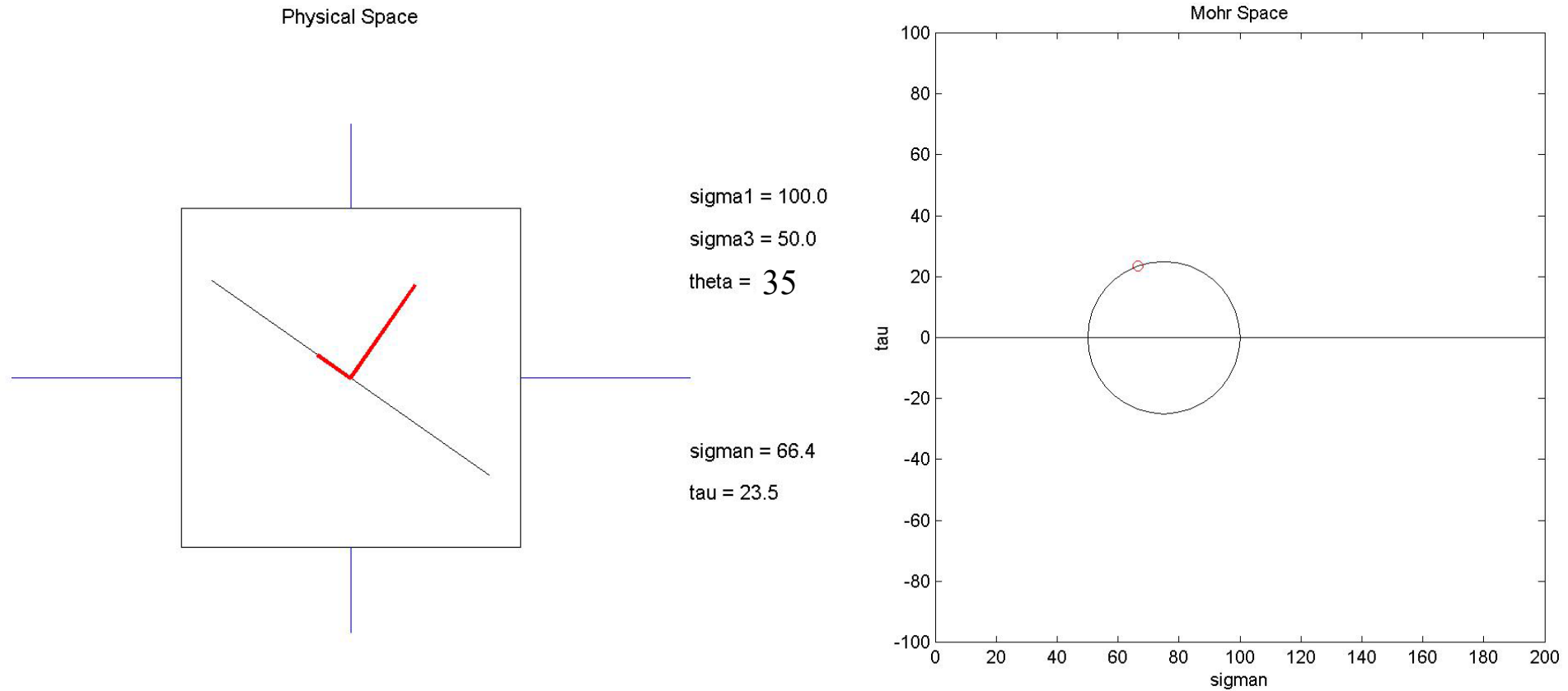
# Faults and stress: traction and stress



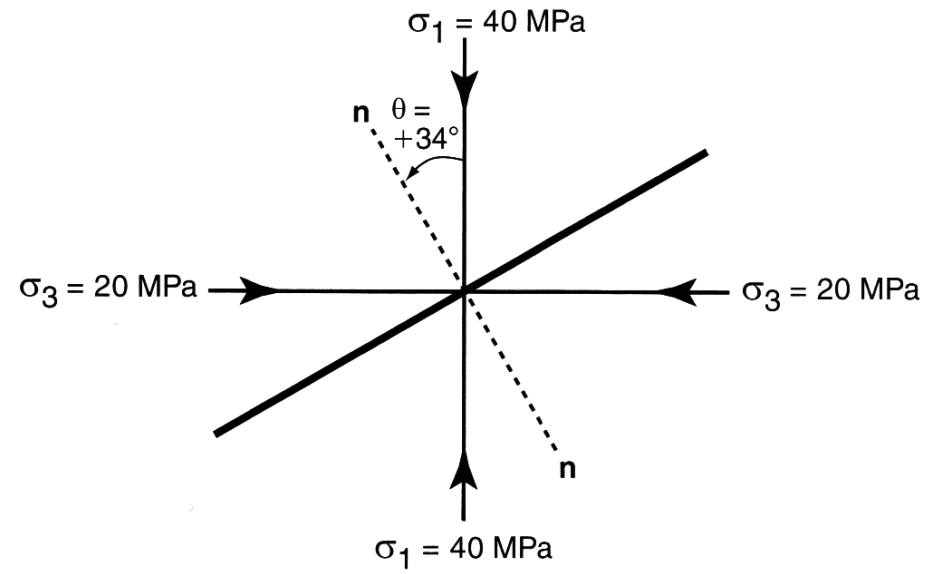
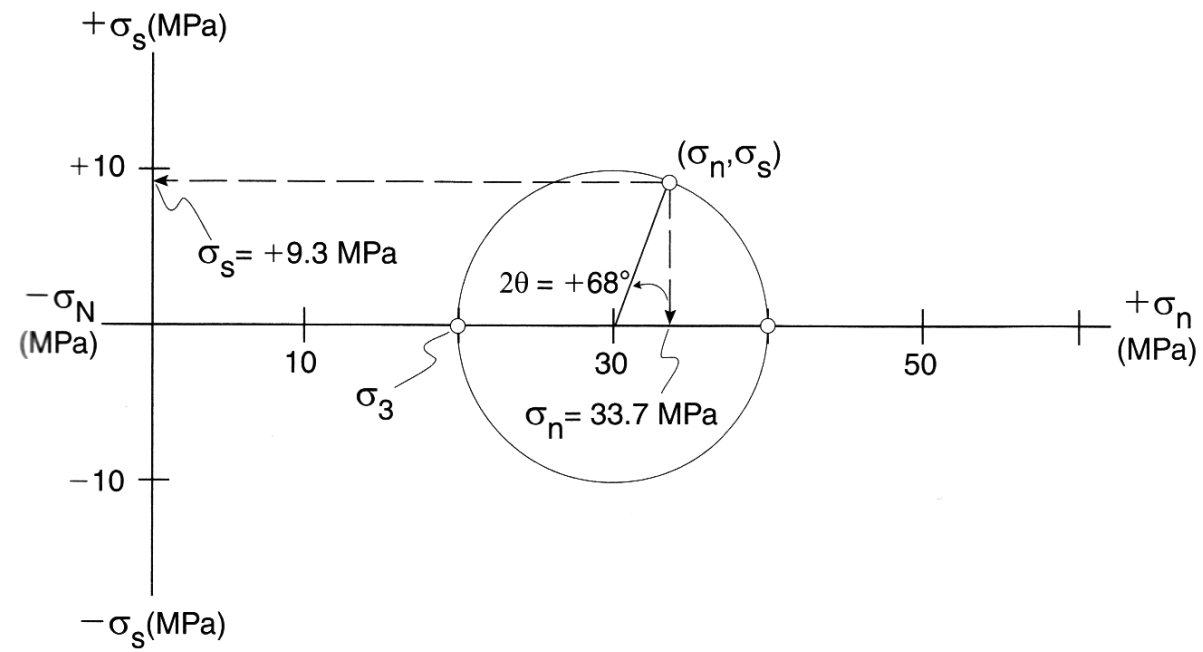
# Faults and stress: traction and stress

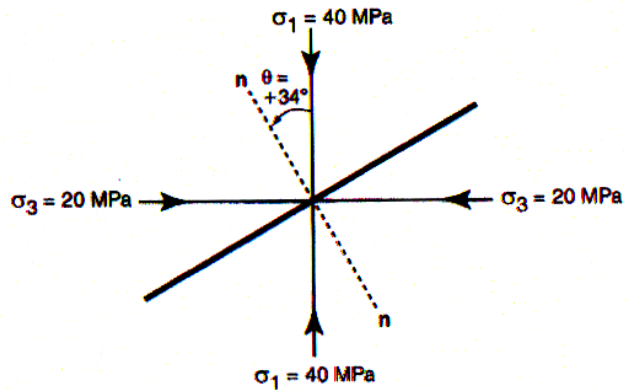


# Faults and stress: traction and stress





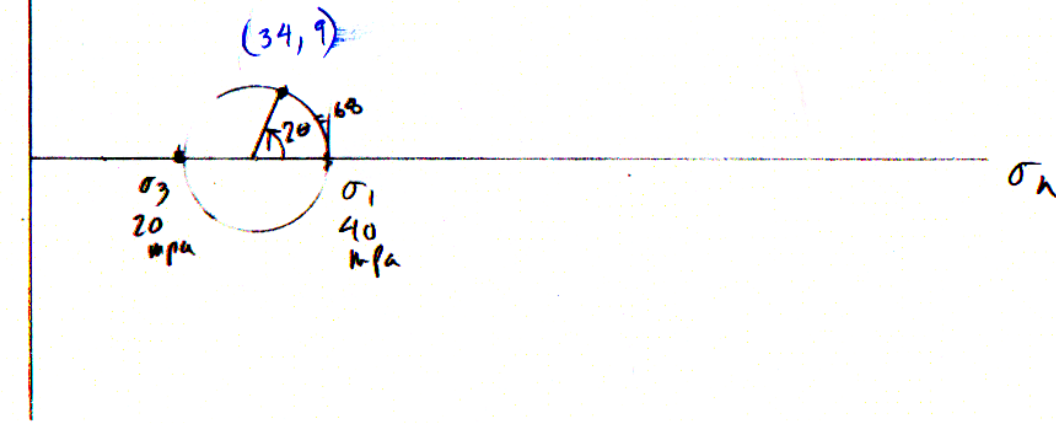
**A****B**

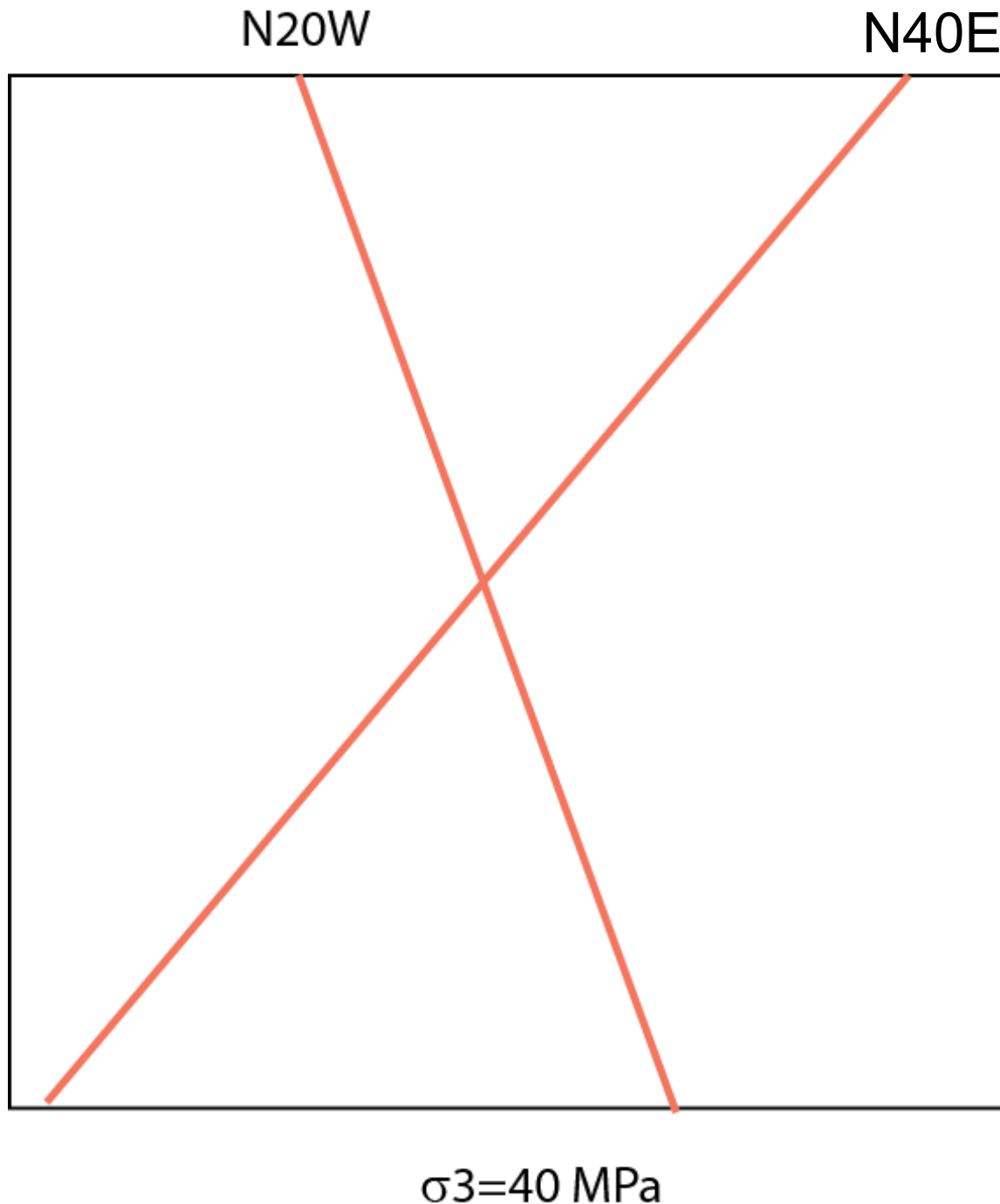


$$\begin{aligned}\sigma_n &= \frac{\sigma_1 + \sigma_3}{2} + \frac{\sigma_1 - \sigma_3}{2} \cos 2\theta \\ &= \frac{40 + 20}{2} + \frac{40 - 20}{2} \cos 2 \cdot 34 \\ &= \frac{60}{2} + \frac{20}{2} \cos 68 \\ &= 30 + 10 \cdot 0.375 \\ &= 30 + 3.75 = 33.75\end{aligned}$$

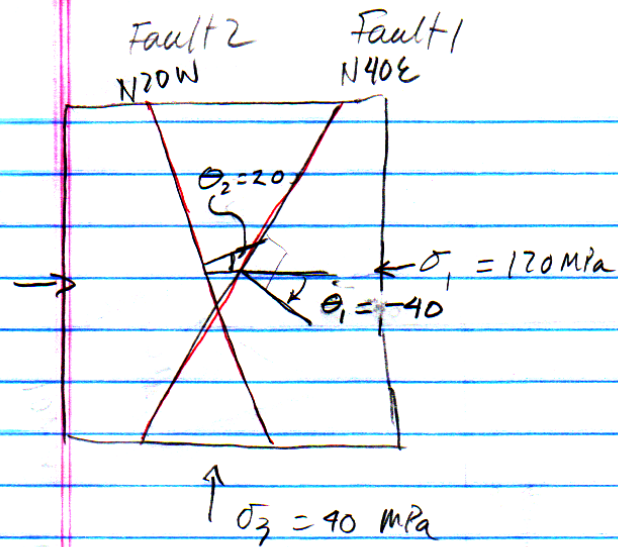
$$\begin{aligned}\sigma_s &= \frac{\sigma_1 - \sigma_3}{2} \sin 2\theta \\ &= \frac{40 - 20}{2} \sin 2 \cdot 34 \\ &= \frac{20}{2} \sin 68 \\ &= 10 \cdot 0.927 = 9.3 \text{ MPa}\end{aligned}$$

$\sigma_s$  10mm = 10MPa





Using both the Mohr circle *and* the fundamental stress equations, determine the normal and shear tractions on the two planes. For Mohr circle, use  $1 \text{ cm} = 10 \text{ MPa}$ )  
 $\sigma_1 = 120 \text{ MPa}$



Normal traction

$$\sigma_n = \frac{\sigma_1 + \sigma_3}{2} + \frac{\sigma_1 - \sigma_3}{2} \cos 2\theta$$

$$\begin{aligned} F_1 &= \frac{120 + 40}{2} + \frac{120 - 40}{2} \cos 2 \cdot -40 \\ &= \frac{160}{2} + \frac{80}{2} \cos(-80) \\ &= 80 + 40 \cdot 0.17 \\ &= 80 + 6.9 \\ &= 87 \text{ MPa} \end{aligned}$$

$$\begin{aligned} F_2 &= \frac{120 + 40}{2} + \frac{120 - 40}{2} \cos 2 \cdot 20 \\ &= 80 + 40 \cos 40 \\ &= 80 + 30.6 \\ &= 110.6 \text{ MPa} \end{aligned}$$

Shear traction

$$\sigma_s = \frac{\sigma_1 - \sigma_3}{2} \sin 2\theta$$

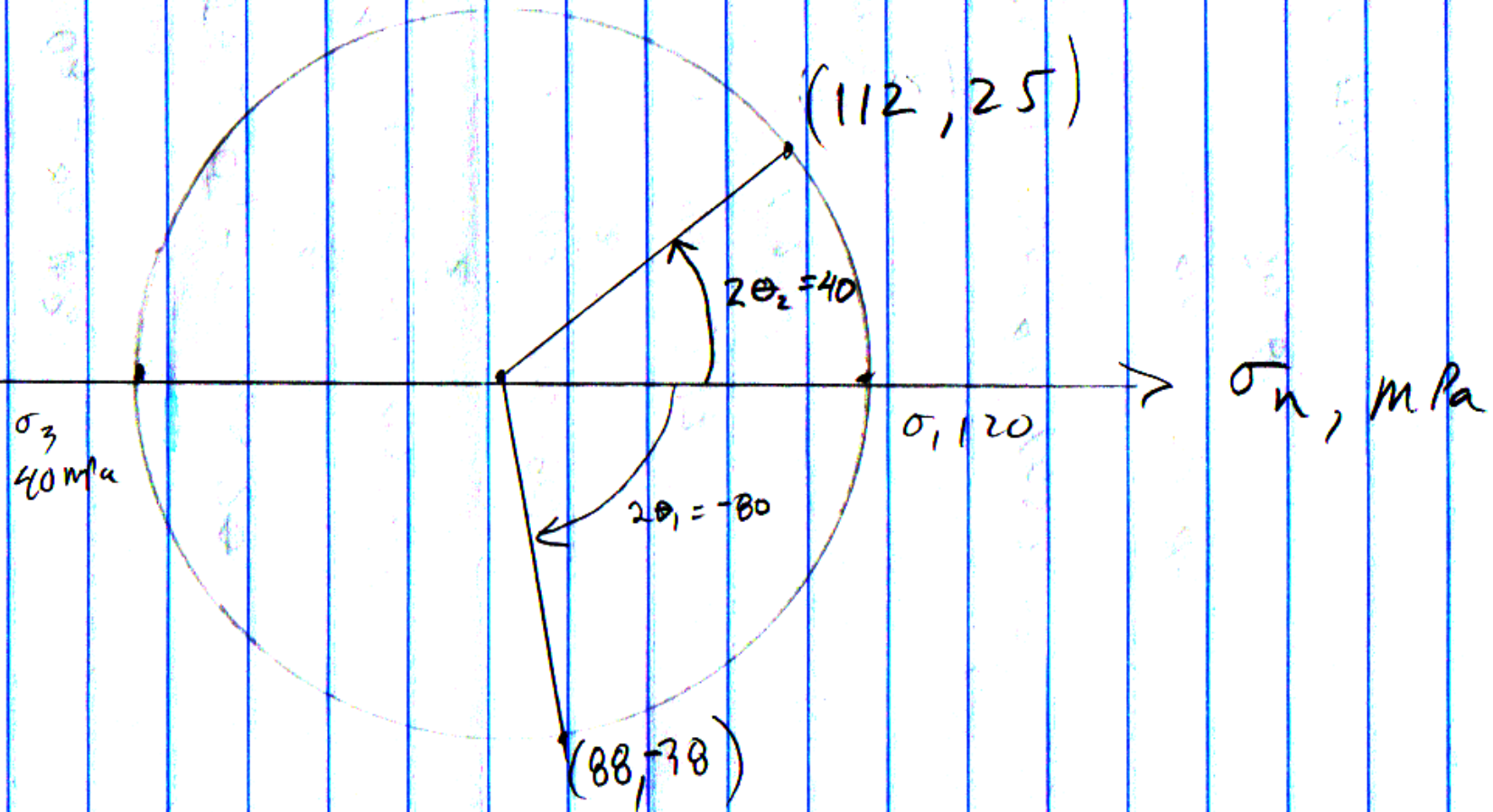
$$\begin{aligned} &= \frac{120 - 40}{2} \sin 2 \cdot -40 \\ &= \frac{80}{2} \sin -80 \\ &= 40 \cdot \sin -80 \\ &= -39.9 \text{ MPa} \end{aligned}$$

$$\begin{aligned} &= \frac{120 - 40}{2} \sin 2 \cdot 20 \\ &= \frac{80}{2} \sin 40 \\ &= 40 \cdot 0.64 \\ &= 25.7 \text{ MPa} \end{aligned}$$

# Mohr Circle

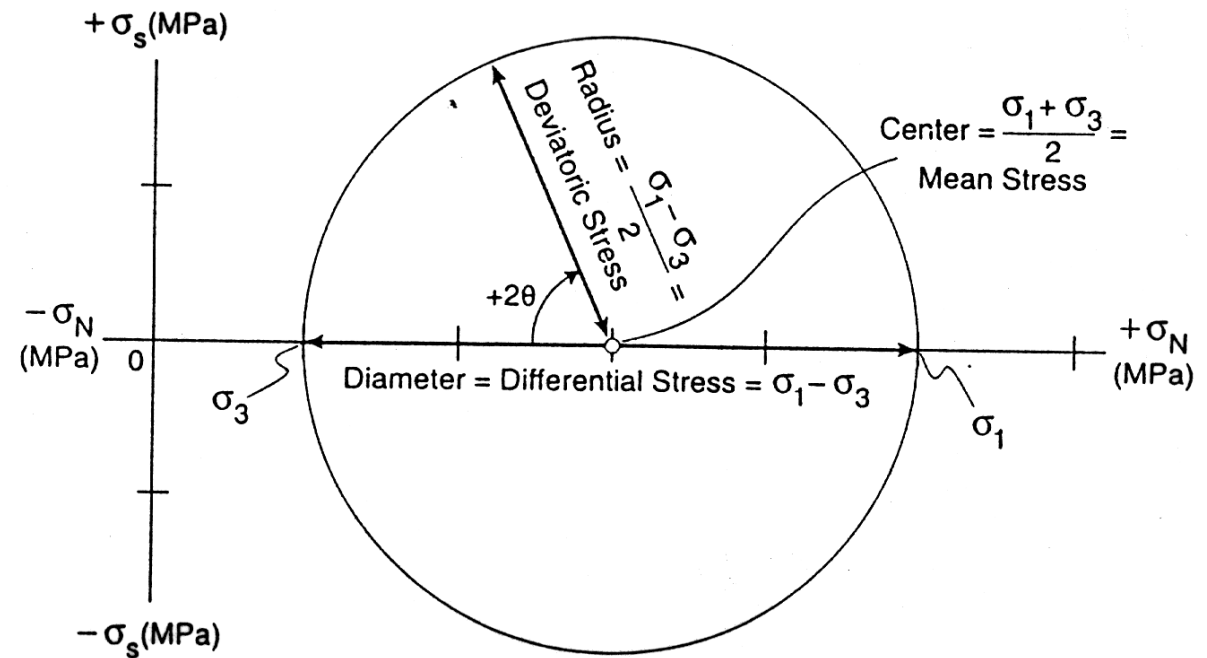
$$10 \text{ mm} = 10 \text{ MPa}$$

$\sigma_y, \text{MPa}$   
↑



# Faults and stress: traction and stress

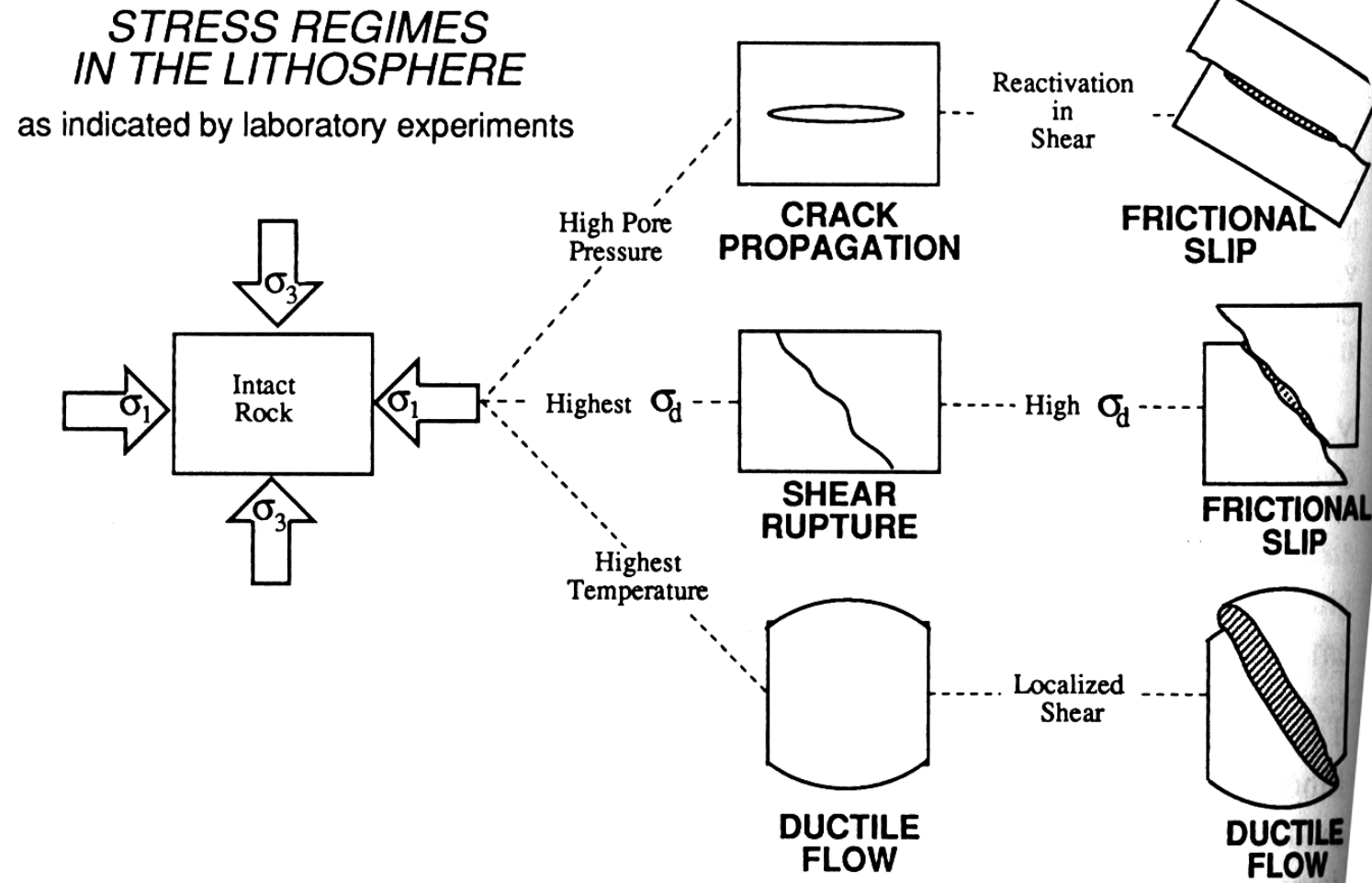
**Figure 3.24** The center of the Mohr stress circle represents mean stress, which is the hydrostatic component of the stress field. Mean stress tends to produce dilation. The radius of the Mohr stress circle represents deviatoric stress, which is the nonhydrostatic component of the stress field. Deviatoric stress tends to produce distortion. The diameter of the Mohr stress circle represents differential stress. The larger it is, the greater the potential for distortion.



$$\tau = \sigma_s$$

-Davis and Reynolds

-Engelder, 1993,  
Stress regimes in  
the lithosphere,  
Princeton Univ.  
Press

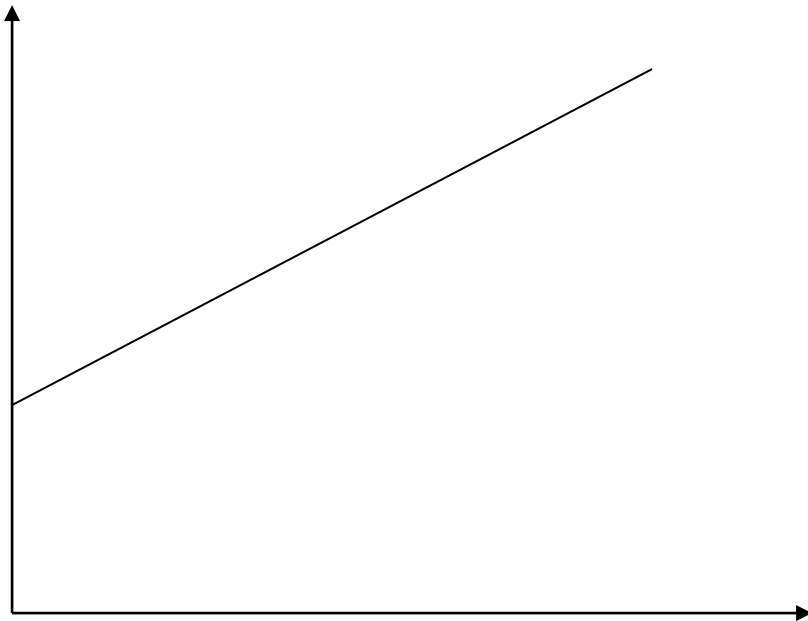


**Fig. 1–6.** The definition of stress regimes in the lithosphere based on the general types of failure encountered during laboratory rock mechanics experiments. Each rectangular box represents the cross section of a cylindrical sample during a polyaxial rock deformation experiment. In the laboratory, intact rock fails by three general mechanisms: crack propagation; shear rupture; and ductile flow. When subject to large shear stresses, joints and shear fractures are reactivated by frictional slip. Shear zones develop if ductile flow is localized. The four stress regimes of the lithosphere are identified by bold letters.

# Mohr circle for stress--three ways to do it

Feature	Johnson and Pollard	Davis and Reynolds	Suppe, Ragan (most common)
Signs	Tension positive ( $\sigma_1$ is max tension)	Compression positive ( $\sigma_1$ is max compression)	Compression positive ( $\sigma_1$ is max compression)
Axes	ts positive downward tn tension to the right	ts = $\sigma_s$ positive upward tn = $\sigma_n$ positive right	ts = $\sigma_\tau$ positive upward tn = $\sigma_n$ positive right
Angle-physical space	$\gamma$ measured between $\sigma_1$ and $\mathbf{n}$ (because it is a principal plane, $\mathbf{t}(\mathbf{n}) = \mathbf{t}_n$ , and $\mathbf{t}_s = 0$ )	$\theta$ measured between $\sigma_1$ and <b>boundary</b>	$\theta$ measured between $\sigma_1$ and $\mathbf{n}$
Angle-Mohr space	$2\gamma$ measured <b>counterclockwise</b> from positive tn direction	$2\theta$ measured <b>clockwise</b> from negative $\sigma_n$ direction	$2\theta$ measured <b>counterclockwise</b> from positive $\sigma_n$ direction
Geometry-physical space	<p>y, <math>\sigma_2</math>(min tension=max compression)</p>	<p>y, <math>\sigma_2</math>(max tension=min compression)</p>	<p>y, <math>\sigma_2</math>(max tension=min compression)</p>
Geometry-Mohr space			

## Coulomb Law of Failure



Coulomb equation

$$\tau_c = c + \tan \phi \sigma_n$$

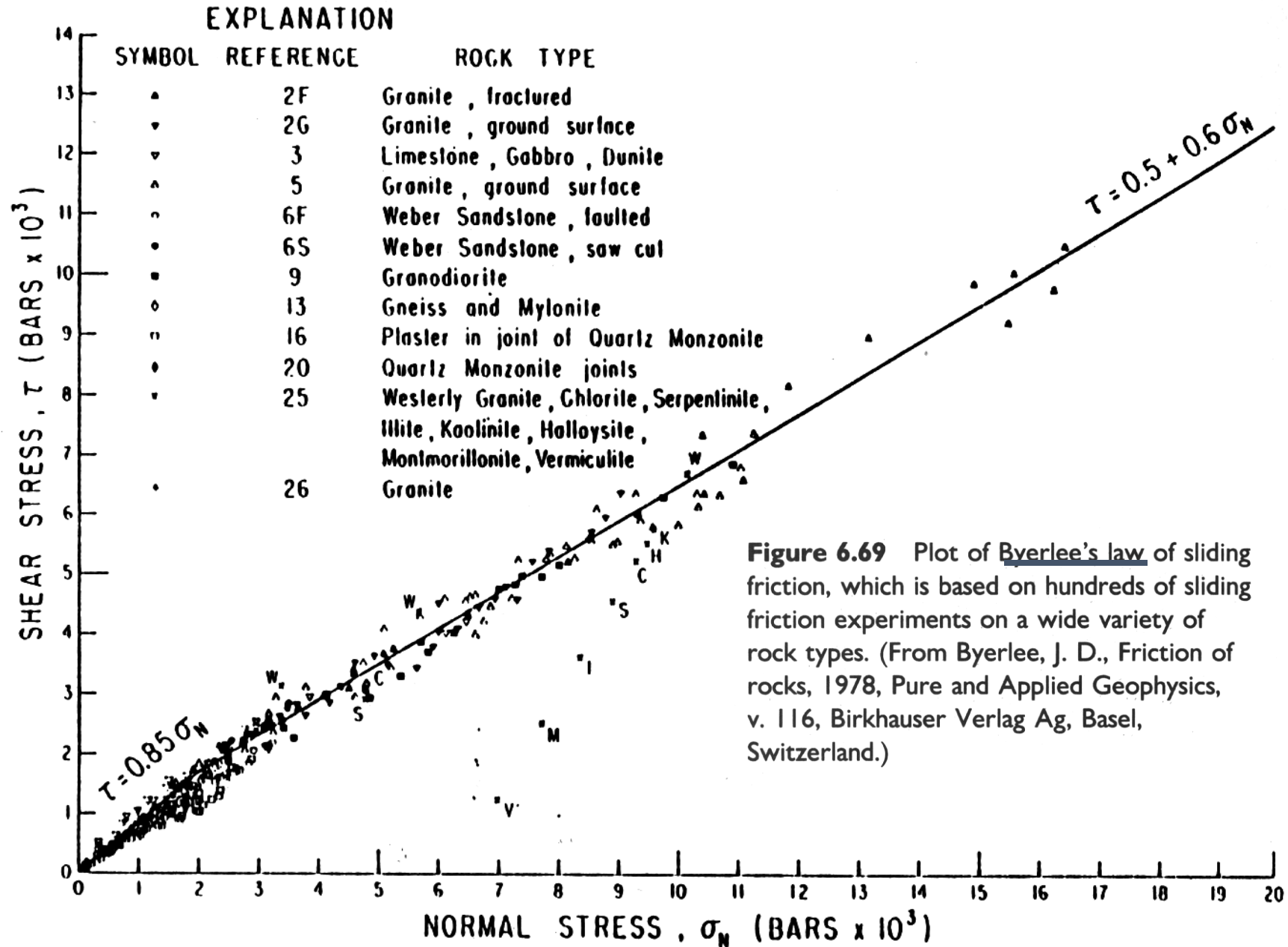
Where

$\tau_c$  = critical shear stress  
required for faulting (shear  
strength)

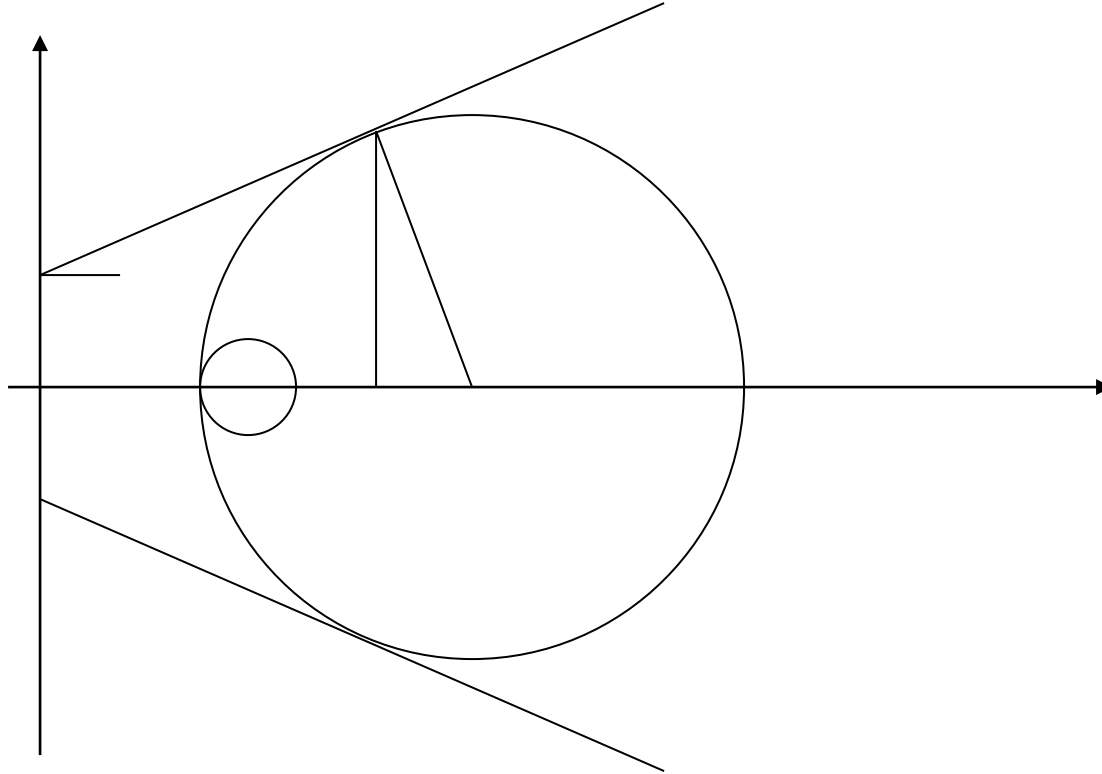
$c$  = cohesive strength

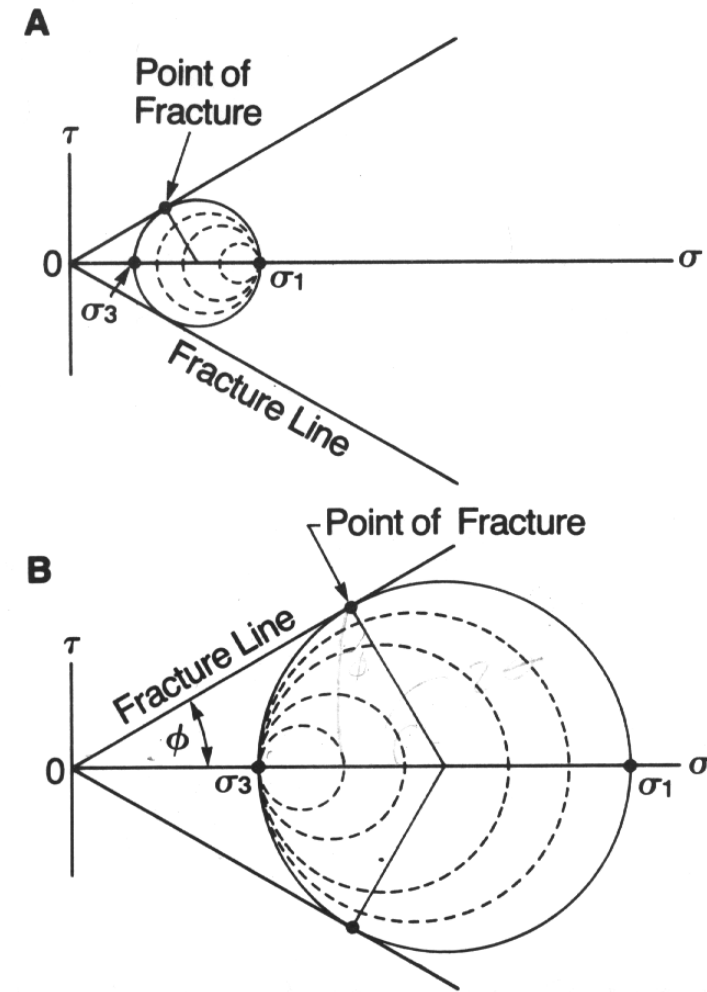
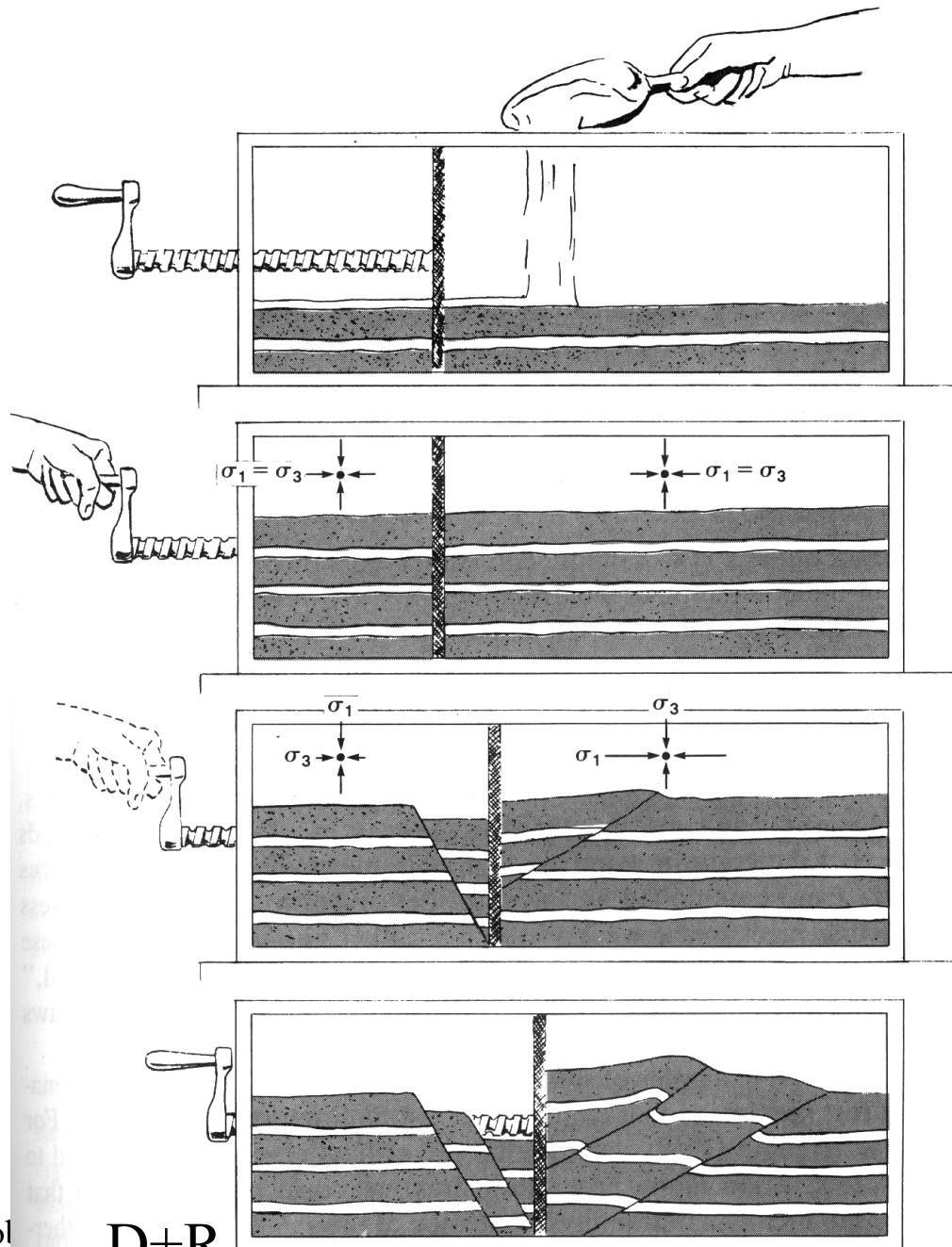
$\tan \phi$  = coefficient of internal  
friction =  $\mu$

# FRICION MEASURED AT MAXIMUM STRESS



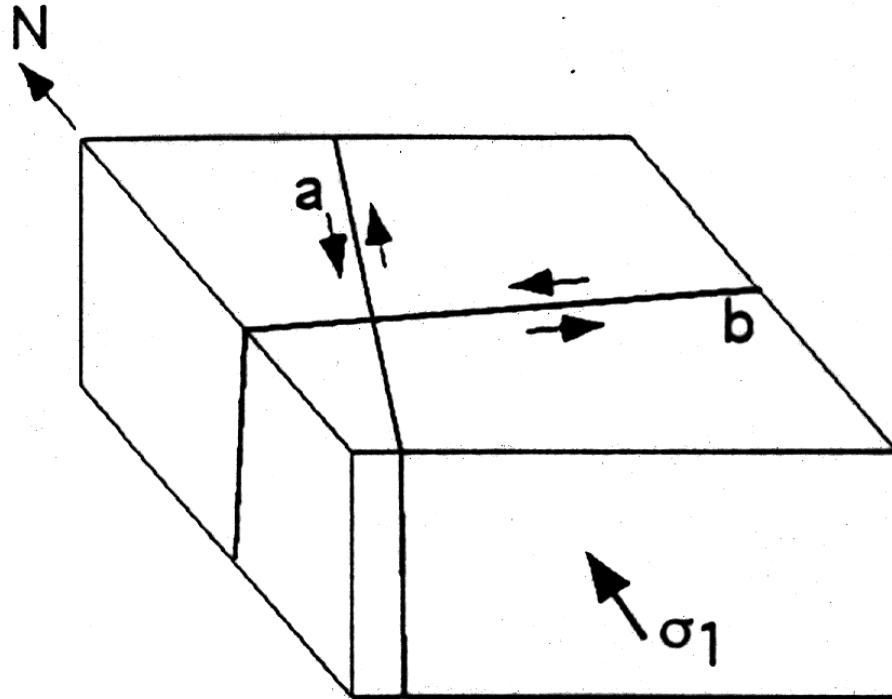
# Faults and stress: traction and stress





**Figure 6.67** Mohr diagram portrayal of the dynamic conditions of the sandbox experiment. (A) Differential stress conditions leading to normal faulting in the left-hand compartment. (B) Differential stress conditions leading to thrust faulting in the right-hand compartment.

In the block below,  $\sigma_1$  is oriented north-south. The area is in a regime of strike-slip faulting.  $\sigma_1 = 100$  MPa and  $\sigma_3 = 50$  MPa, fault **a** strikes 015, and fault **b** strikes 085.



a) What are the normal and shear tractions acting along the two faults?

b) Which is more likely to fail?

c) If you assume that Byerlee's law ( $\tau = 0.5 + 0.6 \sigma_n$ ) holds, what would the strike of the optimally oriented fault be?